

Edmund F. Hannon CFA

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Investment and Portfolio Management Expert

*More than 30 years of success in leading investment portfolio management
for high-growth organizations*

Ed Hannon, CFA has spent more than three decades as an alternative investment portfolio manager, trader, and investor and serves as an advisor to the institutional and family office investment community. Ed possesses a wealth of experience as an investment professional.

He has evaluated the investment strategy, operational, and compliance programs of a large number and variety of hedge and private equity funds and brings a deep understanding of investment strategy and operational strengths and weaknesses. Ed received a BS from The Pennsylvania State University and is a CFA Charterholder.

Professional Experience

Alternative Strategy Institute (ASI), Mahwah, NJ

President, 2015 – Present

Oversee the delivery of effective and results-driven financial solutions to hedge fund and institutional investor clients.

Key Achievement(s):

- Provide advice and guidance on manager selection.
- Conduct Investment Due Diligence and Operational Due Diligence on Hedge Funds and Managed Accounts.
- Provide advice and guidance on operational best practices.

Castle Lanterra Properties (Real Estate Investment Company), Suffern, NY

Chief Operating Officer, 2017 – 2019

Spearheaded financial operations management while leading a highly skilled and dedicated team. Led the full life cycle management of system integration projects to deliver process efficiencies. Implemented effective procedures for ensuring strict compliance with regulatory standards and policies.

Key Achievement(s):

- Successfully planned a private real estate fund to achieve overall revenue growth and translate the firm's mission statement into realization.

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Quest Global Advisors (Hedge Fund), Greenwich, CT

Chief Operating Officer & Chief Compliance Officer, 2016 – 2017

Oversaw daily operations to ensure smooth execution of complex business operations. Designed robust strategies to expand the customer base and ensure a strong market presence. Developed policies and procedures to streamline business operations. Conducted detailed reviews of pre-release financial statements to ensure accuracy.

Key Achievement(s):

- Managed the registration process of the firm with SEC. Prepared all required documentation, developed and implemented SOPs, and represented the firm during an SEC audit.

Stamos Capital Partners, New York, NY

Partner – Operational Due Diligence, 2010 – 2015

Served as a key member of executive committee for designing and executing business strategies. Managed investment portfolio by conducting research and delivering strategic direction for the portfolio.

Key Achievement(s):

- Built positive rapport with SCP clients via sound investment advice and guidance.

Prior Experience

Merrill Lynch, New York, NY

Head of Investment Due Diligence

Hedge Access Platform

Provided executive-level leadership for a team of 15 professionals to oversee the selection process for \$20B open architecture alternatives platform. Delivered high-level support for product development and distribution efforts in relation to alternative investment platform.

Bank of Nova Scotia Capital Markets, Tokyo Japan

Fixed Income Trader

Head of fixed income trading during Tokyo trading hours. Sovereign, provincial, and corporate fixed income securities.

Nomura Securities, Tokyo Japan

Fixed Income Portfolio Manager

Managed fixed income portfolios of US and Canadian sovereign debt and mortgage-backed securities in excess of \$8 billion in assets.

Education and Credentials

CFA (Chartered Financial Analyst)

Bachelor of Science - Finance

Pennsylvania State University, Pennsylvania

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TRIAL, ARBITRATION, MEDIATION, EXPERT REPORT AND DEPOSITION HISTORY

Testimony, Deposition, Expert Reports

1. September 2016
Los Angeles, CA and New York, NY
Fixed income valuation arbitration
Prepared expert report for use by counsel regarding the mechanics of valuing complex mortgage-backed securities - specifically, Inverse Interest Only (IIO) securities. I advised counsel and prepared a detailed report presented in a FINRA arbitration case.
2. December 2019
New York, NY
AAA arbitration case.
Prepared expert report, deposed for eight hours, and testified before the arbitrator regarding a very large hedge fund in a case involving a former employee.
3. May 2020
New York, NY
Insurance claim
A large hedge fund claimed it could not do business due to flooding in the building where its offices are located. The hedge fund filed an insurance claim for property damage and business interruption costs. My mandate was to comment on the business interruption claim. I relied on my experience as a hedge fund Chief Operating Officer and due diligence expert to consult the insurance company, outlining my findings that the claimed business interruption did not need to occur.
4. September 2021
New York, NY
Excessive trading arbitration
A high net worth individual investor claimed that his broker was generating unreasonably high commissions via excessive trading of his municipal bond portfolio. I prepared comments and participated in a FINRA arbitration on the matter. I advised and supported counsel on technical issues related to fixed income trading.
5. January 2024
Mahwah, NJ
Tax Matter – Soroban Capital
Docket Nos. 16217-22 & 16218-22
Served as a hedge fund consulting expert on a case regarding characterizing income. I advised counsel on issues regarding hedge fund structures and investment practices.
6. March 2024
Boston, MA
Tax Matter, Denham Capital Management LP, Denham Capital Management GP, LLC, Tax Matters Partner, v. Commissioner, T.C. Docket No. 9973-23.
Submitted an expert report, was recognized as an expert in investment fund operations, and testified about alternative investment funds on a case regarding characterizing income. Further, I advised counsel on issues regarding alternative investment fund structures and investment practices